

BID REVISION FORM 	AU SMALL FINANCE BANK LIMITED - INITIAL PUBLIC OFFER - ELIGIBLE EMPLOYEES FORM Corporate Identification Number: L36911RJ1996PLC011381; Registered Office: 19-A Dhuleshwar Garden, Ajmer Road, Jaipur 302001, Rajasthan, India Corporate Office: 5th Floor, E-Wing, Kanakia Zillion, Junction of CST Road and L.B.S. Marg, Kurla (West), Mumbai - 400 070, Maharashtra, India; Contact Person: Mr. Manmohan Parnami, Company Secretary and Compliance Officer; Tel: +91 141 4110060; Fax: +91 141 4110090; E-mail: ipo@aubank.in; Website: www.aubank.in		FOR ELIGIBLE EMPLOYEES BIDDING IN THE EMPLOYEE RESERVATION PORTION
	100% BOOK BUILDING OFFER ISIN : INE949L01017		

To, The Board of Directors AU SMALL FINANCE BANK LIMITED (FORMERLY KNOWN AS AU FINANCIERS (INDIA) LIMITED)		Bid cum Application Form No.
SYNDICATE MEMBER'S STAMP & CODE	REGISTERED BROKER / SCSB / CDP / RTA STAMP & CODE	1. NAME & CONTACT DETAILS OF SOLE / FIRST BIDDER Mr. / Ms./M/s. _____ Address _____ Email _____ Tel. No (with STD code) / Mobile _____
ESCROW BANK / SCSB BRANCH STAMP & CODE	ESCROW BANK/SCSB BRANCH STAMP & CODE	2. PAN OF SOLE / FIRST BIDDER _____
BANK BRANCH SERIAL NO.	SCSB SERIAL NO.	3. BIDDER'S DEPOSITORY ACCOUNT DETAILS <input type="checkbox"/> NSDL <input type="checkbox"/> CDSL For NSDL enter 8 digit DP ID followed by 8 digit Client ID / For CDSL enter 16 digit Client ID

PLEASE CHANGE MY BID																
4. FROM (AS PER LAST BID OR REVISION)																
Bid Options	No. of Equity Shares Bid (Bids must be in multiples of Bid Lot as advertised)								Price per Equity Share (₹) / "Cut-off" (Price in multiples of ₹ 1/- only)							
	(In Figures)								(In Figures Only)							
	9	8	7	6	5	4	3	2	1	Bid Price		Employee Discount	Net Price	"Cut-off" (Please tick)		
Option 1																
(OR) Option 2																
(OR) Option 3																
5. TO (REVISED BID) (ELIGIBLE EMPLOYEES BIDDING IN THE EMPLOYEE RESERVATION PORTION CAN BID AT "CUT-OFF")																
Bid Options	No. of Equity Shares Bid (Bids must be in multiples of Bid Lot as advertised)								Price per Equity Share (₹) / "Cut-off" (Price in multiples of ₹ 1/- only)							
	(In Figures)								(In Figures Only)							
	9	8	7	6	5	4	3	2	1	Bid Price		Employee Discount	Net Price	"Cut-off" (Please tick)		
Option 1																
(OR) Option 2																
(OR) Option 3																

6. PAYMENT DETAILS										PAYMENT OPTION : FULL PAYMENT <input type="checkbox"/> PART PAYMENT <input checked="" type="checkbox"/>									
Amount Blocked (₹ in figures) _____ (₹ in words) _____																			
ASBA Bank A/c No. _____ Bank Name & Branch _____																			

I/WE (ON BEHALF OF JOINT BIDDERS, IF ANY) HEREBY CONFIRM THAT I/WE HAVE READ AND UNDERSTOOD THE TERMS AND CONDITIONS OF THIS BID REVISION FORM AND THE ATTACHED FORM ABRIDGED PROSPECTUS AND THE GENERAL INFORMATION DOCUMENT FOR INVESTING IN PUBLIC ISSUES ("GID") AND HEREBY AGREE AND CONFIRM THE 'BIDDERS UNDERTAKING' AS GIVEN OVERLEAF. I/WE (ON BEHALF OF JOINT BIDDERS, IF ANY) HEREBY CONFIRM THAT I/WE HAVE READ THE INSTRUCTIONS FOR FILLING UP THE BID REVISION FORM GIVEN OVERLEAF.

7A. SIGNATURE OF SOLE/ FIRST BIDDER _____ Date : _____, 2017	7B. SIGNATURE OF ASBA BANK ACCOUNT HOLDER(S) (AS PER BANK RECORDS) I/We authorise the SCSB to do all acts as are necessary to make the Application in the Offer 1) _____ 2) _____ 3) _____	SYNDICATE MEMBER/ REGISTERED BROKER/ SCSB / CDP / RTA STAMP (Acknowledging upload of Bid in Stock Exchange system) _____
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	AU SMALL FINANCE BANK LIMITED BID REVISION FORM / INITIAL PUBLIC OFFER - ELIGIBLE EMPLOYEES BIDDING IN THE EMPLOYEE RESERVATION PORTION	Acknowledgement Slip for Syndicate Member / Registered Broker / SCSB / CDP / RTA	Bid cum Application Form No.
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DP ID / CL ID _____	PAN of Sole / First Bidder																		
Additional Amount Blocked (₹) _____										ASBA Bank A/c No. _____									
Bank & Branch _____										Stamp & Signature of SCSB Branch _____									
Received from Mr./Ms./M/s. _____										Telephone / Mobile _____ Email _____									

TEAR HERE																																
AU SMALL FINANCE BANK LIMITED - BID REVISION FORM - ELIGIBLE EMPLOYEES BIDDING IN THE EMPLOYEE RESERVATION PORTION	<table border="1"> <tr> <th></th> <th>Option 1</th> <th>Option 2</th> <th>Option 3</th> </tr> <tr> <td>No. of Equity Shares</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Bid Price</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Additional Amount Blocked (₹)</td> <td></td> <td></td> <td></td> </tr> <tr> <td>ASBA Bank A/c No.</td> <td colspan="3"></td> </tr> <tr> <td>Bank & Branch</td> <td colspan="3"></td> </tr> </table>		Option 1	Option 2	Option 3	No. of Equity Shares				Bid Price				Additional Amount Blocked (₹)				ASBA Bank A/c No.				Bank & Branch				<table border="1"> <tr> <td> Stamp & Signature of Syndicate Member / Registered Broker / SCSB / CDP / RTA _____ </td> <td> Name of Sole / First Bidder _____ </td> </tr> <tr> <td colspan="2"> Acknowledgement Slip for Bidder </td> </tr> <tr> <td colspan="2"> Bid cum Application Form No. </td> </tr> </table>	Stamp & Signature of Syndicate Member / Registered Broker / SCSB / CDP / RTA _____	Name of Sole / First Bidder _____	Acknowledgement Slip for Bidder		Bid cum Application Form No.	
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